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<b>Date:</b>	<b>September 22, 2005</b>	<b>Fax:</b>	<b>571-273-8300</b>
<b>To:</b>	<b>U.S. Patent and Trademark Office</b>	<b>Phone:</b>	

**Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450**

<b>From:</b>	<b>Rachel V. Leiterman</b>
<b>Serial No.:</b>	<b>10/652,798</b>
<b>Docket:</b>	<b>LUM-02-09-02</b>
<b>Re:</b>	<b>Information Disclosure Statement</b>
<b>Pages:</b>	<b>9 (including cover sheet)</b>

**Message:**

Re:   Applicant(s):   Michael J. Ludowise  
 Assignee:   Lumileds Lighting U.S. LLC  
 Title:   Resonant Cavity Light Emitting Device  
 Serial No.:   10/652,798  
 Examiner:   Phat X. Cao                                  Filed: August 28, 2003  
 Docket No.:   LUM-02-09-02                                  Group Art Unit: 2814

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Dear Sir:

Transmitted herewith are the following documents in the above-identified application:

- (1) Transmittal Letter (1 page);
- (2) Information Disclosure Statement (2 pages);
- (3) Forms PTO/SB/08A and PTO/SB/08B citing 5 references (2 pages);
- (4) One (1) cited reference (3 pages);
- (5) Copies of the cited US Patent documents are not enclosed as the requirement under 37 CFR 1.98 (a)(2)(i) is waived.

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Brian D. Ogonowsky  
Carmen C. Cook  
David C. Hsia  
Rachel V. Leiterman

September 22, 2005

Via Facsimile  
Mail Stop Amendment  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Re: Applicant(s): Michael J. Ludowise  
 Assignee: Lumileds Lighting U.S., LLC  
 Title: Resonant Cavity Light Emitting Device  
 Serial No.: 10/652,798  
 Examiner: Phat X. Cao Filed: August 28, 2003  
 Docket No.: LUM-02-09-02 Group Art Unit: 2814

Dear Sir:

Transmitted herewith are the following documents in the above-identified application:

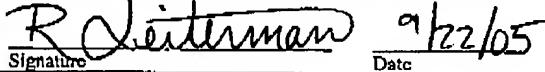
- (1) This Transmittal Letter (1 page);
- (2) Information Disclosure Statement (2 pages);
- (3) Forms PTO/SB/08A and PTO/SB/08B citing 5 references (2 pages);
- (4) One (1) cited reference (3 pages);
- (5) Copies of the cited US Patent documents are not enclosed as the requirement under 37 CFR 1.98 (a)(2)(i) is waived.

The fee has been calculated as shown below:

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Signature Date 9/22/05

Respectfully submitted,



Rachel V. Leiterman  
Attorney for Applicant(s)  
Reg. No. 46,868

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Michael J. Ludowise RECEIVED  
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 Assignee: Lumileds Lighting U.S. LLC  
 Title: Resonant Cavity Light Emitting Device SEP 22 2005  
 Serial No.: 10/652,798 Filing Date: August 28, 2003  
 Examiner: Phat X. Cao Group Art Unit: 2814  
 Docket No.: LUM-02-09-02

San Jose, California  
September 22, 2005

Mail Stop Amendment  
 Commissioner for Patents  
 P. O. Box 1450  
 Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT  
UNDER CFR § 1.97(c) WITH FEE**

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, § 1.97 and § 1.98, the documents listed on the accompanying forms PTO/SB/08A and PTO/SB/08B are called to the attention of the Examiner for the above patent application. A copy of the search report is enclosed. Copies of the cited US Patent documents are not enclosed as the requirement under 37 CFR 1.98 (a)(2)(i) is waived.

Citation of the above documents shall not be construed as:

1. an admission that the documents are necessarily prior art with respect to the instant invention;
2. a representation that a search has been made (other than as stated above); or
3. an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

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-1-

Serial No. 10/652,798

Please charge our Deposit Account No. 502226 \$180.00 for the Information Disclosure Statement fee under 37 C.F.R. § 1.17(p).

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 502226.

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Signature Date

Respectfully submitted,



Rachel V. Leiterman  
Attorney for Applicants  
Reg. No. 46,868

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SEP 22 2005

PTO/SB/08A (08-03)

Approved for use through 07/31/2008. OMB 0651-0031

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Substitute for form 1449/PTO

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 2

Substitute for Form 1449/PTO		<b>Complete If Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Use as many sheets as necessary)</i>		Application Number	10/652,798
		Filing Date	August 28, 2003
		First Named Inventor	Michael J. Ludowise
		Art Unit	2814
		Examiner Name	Phat X. Cao
		Attorney Docket Number	LJM-02-09-02
Sheet	1	of	2

**U. S. PATENT DOCUMENTS**

## **FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>2</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>

**Examiner Signature** \_\_\_\_\_ **Date Considered** \_\_\_\_\_

**\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. **\*Applicant's unique citation designation number (optional).** **\*See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.** **\*Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).** **\*For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.** **\*Kind of document by appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.** **\*Applicant is to place a check mark here if English language Translation is attached.**

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

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Substitute for Form 1449/PTO				<b>Complete If Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Use as many sheets as necessary)</i>				<b>Application Number</b>	10/652,798
				<b>Filing Date</b>	August 28, 2003
				<b>First Named Inventor</b>	Michael J. Ludowise
				<b>Art Unit</b>	2814
				<b>Examiner Name</b>	Phat X. Cao
Sheet	2	of	2	Attorney Docket Number	
				LUM-02-09-02	

## **NON-PATENT LITERATURE DOCUMENTS**

<b>Examiner Signature</b>		<b>Date Considered</b>	
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**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

**7 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.**  
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